

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing
(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attorney Docket No. 00-812F;400/043

First Named Inventor Stinchcomb et al.

Express Mail No. EL604654415US

1000 U.S. PTO
10/056414
01/23/02

APPLICATION ELEMENTS

1. ☒ Transmittal Form with Fee
2. ☒ Specification (including claims and abstract, Tables I-VII) [Total Pages 36]
3. ☒ Drawings [Total Sheets 6]
4. ☒ Oath or Declaration [Total Pages 3]
 - a. ☐ Newly executed
 - b. ☒ Copy from prior application

[Note Boxes 5 and 18 below]

 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Code Listing (See 1.96)
 - a. ☐ Microfiche Appendix
 - b. ☐ CD-Rom (in duplicate, with separate transmittal)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Copy
 - b. ☒ Paper Copy
 - c. ☐ Statement verifying above copies
8. ☒ Small Entity Status
 - ☒ is claimed
 - ☐ Statement filed in prior application; status still proper and desired
 - ☐ is no longer claimed.

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers
10. ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)
 - ☐ PTO-1449 Form
 - ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ A Request for non-publication pursuant to 35 U.S.C. § 122(b)(2)(B)(i)
17. ☒ Other: Request to Transfer Sequence Listing

18. ☒ This is a CONTINUING APPLICATION. Please note the following:

- a. ☒ This is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part of prior application 08/777,916, filed December 23, 1996.
- b. ☒ Cancel in this application original claims 2-18 of the prior application before calculating the filing fee. (At least one claim must remain.)
- c. ☒ Amend the specification by deleting lines 5-10 on page 1 and inserting before the first line the sentence:
This is a ☒ continuation ☐ divisional ☐ continuation-in-part of application Serial No. 08/777,916, filed December 23, 1996, which is a continuation of 08/291,932, filed on August 15, 1994, now U.S. Patent No. 5,658,780, which is a continuation-in-part of 08/245,466, filed May 18, 1994, abandoned, which is a continuation-in-part of 07/987,132, filed December 7, 1992, abandoned, which are hereby incorporated by reference herein.
- d. ☒ The prior application is assigned of record to Ribozyme Pharmaceuticals, Inc.

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Attorney Docket No. 00-812-F;400/043

APPLICATION FEES

BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	11 -20=		x \$18.00	\$.00
Independent Claims	2 - 3=		x \$84.00	\$.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$ 740.00
Reduction by 50% for filing by small entity =				\$(370.00)
<input type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$.00
TOTAL =				\$ 370.00

19. ☐ Please charge my Deposit Account No. 13-2490 in the amount of \$20. ☒ A check in the amount of \$370.00 is enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☒ Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

24. USPTO CUSTOMER NUMBER



20306

PATENT TRADEMARK OFFICE

McDonnell Boehnen Hulbert & Berghoff

25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Lisa M.W. Hillman
Reg. No.	43,673
Signature	
Date	January 23, 2002

UTIL (Rev. 11/21/00)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(MBHB 00-812-F; RPI Ref No. 400/043)

In re the Application of:

Stinchcomb et al.

Serial No: Not assigned

Filed: Not assigned

For: Ribozyme Treatment of Diseases or
Conditions Related to Levels of NF- κ B

)
) Group Art Unit: Not assigned

)
) Examiner: Not assigned

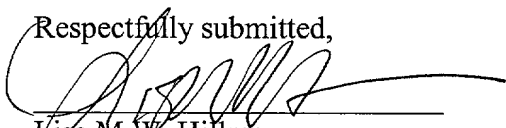
REQUEST TO TRANSFER SEQUENCE LISTING

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231
Sir:

Applicant requests that the sequence information previously submitted for the continuation-in-part application (U.S. application serial number 08/291,932) be used in the present application. The substitute paper copy (written form) of the Sequence Listing being submitted herewith in the instant application is identical to the computer readable copy of the Sequence Listing filed in the continuation-in-part application serial number 08/291,932, filed August 15, 1994. In accordance with 37 C.F.R. § 1.821(e), please use the computer readable form of the sequence listing filed in U.S. application serial number 08/291,932 on January 26, 1998 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in the application number and filing date for the instant application. A substitute paper copy (written form) of the Sequence Listing is included in a preliminary amendment filed on January 26, 1998 for incorporation into the specification.

Respectfully submitted,

Date: January 23, 2002


Lisa M.W. Hillman
Reg. No. 43,673

CERTIFICATE OF MAILING (37 C.F.R. 1.10)

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

Date: January 23, 2002

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Lisa M.W. Hillman